



## APPLIED BEHAVIOR ANALYSIS THERAPY PRIOR AUTHORIZATION REQUEST FORM

Form must be *submitted with medical records* to support services.

|                                                                                    |                                     |                                                                   |
|------------------------------------------------------------------------------------|-------------------------------------|-------------------------------------------------------------------|
| <b>Date:</b>                                                                       | <b>Select ABA Service Category:</b> |                                                                   |
| <b>RECIPIENT INFORMATION</b>                                                       |                                     |                                                                   |
| <b>Medicaid ID (9 digits):</b>                                                     | <b>Date of Birth:</b>               | <b>Sex:</b> <input type="checkbox"/> M <input type="checkbox"/> F |
| <b>Last Name:</b>                                                                  | <b>First Name:</b>                  |                                                                   |
| <b>ABA THERAPY PROVIDER INFORMATION</b>                                            |                                     |                                                                   |
| <b>ABA Therapy Provider Name:</b>                                                  |                                     |                                                                   |
| <b>ABA Provider NPI:</b>                                                           | <b>ABA Provider Taxonomy:</b>       |                                                                   |
| <b>ABA Provider Address:</b>                                                       |                                     |                                                                   |
| <b>Point of Contact Name and Title:</b>                                            |                                     |                                                                   |
| <b>Fax:</b>                                                                        | <b>Phone:</b>                       |                                                                   |
| <i>NOTE: The determination notice will be sent to the listed point of contact.</i> |                                     |                                                                   |

|                                                                                                               |                  |
|---------------------------------------------------------------------------------------------------------------|------------------|
| <b>APPLIED BEHAVIOR ANALYSIS THERAPY ASSESSMENT</b>                                                           |                  |
| <i>This section must be completed for the ABA Therapy Provider to perform an ABA Assessment for services.</i> |                  |
| <b>Diagnosing Physician Name:</b>                                                                             |                  |
| <b>Diagnosing Physician NPI:</b>                                                                              | <b>Taxonomy:</b> |
| <b>Date of Diagnosis:</b>                                                                                     |                  |
| <b>Name of Evidence-Based Evaluation Diagnosis Instrument(s):</b> (Attach a copy to this request)             |                  |
| <b>Autism Spectrum Disorder (ASD) Diagnosis:</b>                                                              |                  |

**APPLIED BEHAVIOR ANALYSIS CARE PLAN AND DIRECT SERVICES**

*This section must be completed for the initial provision of ABA care plan and direct therapy services. This section should be completed for each 6 month re-authorization of services.*

**Name of Standardized ABA Assessment(s) used by ABA Therapy Provider:** (Attach assessment results to this request)

**CARE PLAN**

**Date of Care Plan:**

**I certify that an individualized care plan has been completed and attached for the recipient on this form. The care plan contains the following information:**

- Description of target ASD behavior(s) and goal behavior(s);
- Measurable behavior treatment goal(s);
- Method or treatment protocol intended to decrease target ASD behavior(s) and implement goal behavior(s);
- Criteria to be used for objective assessment of progress towards behavior Treatment goals; and
- Frequency of assessment of criteria towards progress of behavior treatment goals.

**Anticipated Duration of Services:**

**Discharge Plan:** (if services expected to end in the next 6 months)

**DIRECT SERVICES**

| CPT Code | Service<br>(All are 15-minute units)                                                                                                   | Planned Units |       |          |
|----------|----------------------------------------------------------------------------------------------------------------------------------------|---------------|-------|----------|
|          |                                                                                                                                        | Week          | Month | 6 Months |
| 97151    | Behavior identification assessment, administered by physician or other qualified health care professional                              |               |       |          |
| 97152    | Behavior identification-supporting assessment, administered by one technician                                                          |               |       |          |
| 97153    | Adaptive behavior treatment by protocol, administered by technician                                                                    |               |       |          |
| 97154    | Group adaptive behavior treatment by protocol, administered by technician                                                              |               |       |          |
| 97155    | Adaptive behavior treatment guidance with protocol modification, administered by physician or other qualified health care professional |               |       |          |
| 97156    | Family adaptive behavior treatment guidance, administered by physician or other qualified health care professional                     |               |       |          |

|       |                                                                                                                                     |  |  |  |
|-------|-------------------------------------------------------------------------------------------------------------------------------------|--|--|--|
| 97157 | Multiple-family adaptive behavior treatment guidance, administered by physician or other qualified health care professional         |  |  |  |
| 97158 | Group adaptive behavior treatment with protocol modification, administered by physician or other qualified health care professional |  |  |  |

**RE-AUTHORIZATION OF ABA DIRECT SERVICES**

**INDICATE RECIPIENT'S PROGRESS TOWARDS BEHAVIOR GOALS:** \_\_\_\_\_ %  
 Attach evidence of progress during pervious 6 month period if not included in the care plan.

**INDICATE ANY PROPOSED TREATMENT INTERVENTIONS OR MODIFICATIONS:** If no modifications are being made to the care plan, please include justification for continued care plan services.

**OTHER COMMENTS RELATED TO RECIPIENT CARE:**

I certify that the information given in this form is a true and accurate medical indication for the procedure(s) required. There is no other equally effective treatment available which is more conservative or substantially less costly (ARSD 67:16:01:06.02). All other treatment to correct this problem has been exhausted.

**PROVIDER NAME:** \_\_\_\_\_

**PROVIDER SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

REMEMBER TO ATTACH ANY SUPPLEMENTAL MATERIALS/ATTACHMENTS TO THIS FORM BEFORE SUBMITTING TO SOUTH DAKOTA MEDICAID.